

|                                                                                                                                                 |  |                                                                          |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------------------------------|--|
| <b>UTILITY</b><br><b>PATENT APPLICATION</b><br><b>TRANSMITTAL</b><br><small>(Only for nonprovisional applications under 37 CFR 1.53(b))</small> |  | Attorney Docket No. <b>742433-0023</b>                                   |  |
|                                                                                                                                                 |  | First Inventor <b>Chin-Fu CHO</b>                                        |  |
|                                                                                                                                                 |  | Title <b>IMAGE SCANNING APPARATUS WITH CROSS-SHAPED DOCUMENT PASSAGE</b> |  |
|                                                                                                                                                 |  | Express Mail Label No. _____                                             |  |

|                                                                                                                    |  |                                                                                        |  |
|--------------------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------|--|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small> |  | ADDRESS TO: Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231 |  |
|--------------------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------|--|

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original and a duplicate for fee processing)</small><br>2. <input type="checkbox"/> Applicant claims small entity status.<br><small>See 37 CFR 1.27.</small><br>3. <input checked="" type="checkbox"/> Specification [Total Pages 11]<br><small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications (if applicable)</li> <li>- Statement Regarding Fed sponsored R &amp; D (if applicable)</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix (if applicable)</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Fig. 1a-3 [Total Sheets 5]<br>Oath or Declaration [Total Pages 2]<br>a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br><small>(for continuation/divisional with Box 18 completed)</small><br>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small><br>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)<br>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or<br>ii. <input type="checkbox"/> paper<br>c. <input type="checkbox"/> Statements verifying identity of above copies |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>ACCOMPANYING APPLICATION PARTS</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  |
| 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))<br>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><small>(when there is an assignee)</small><br>11. <input type="checkbox"/> English Translation Document (if applicable)<br>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations<br>13. <input type="checkbox"/> Preliminary Amendment<br>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small><br>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <b>Taiwan</b><br><b>Application No. 090216810 Filed October 3, 2001</b><br><small>(if foreign priority is claimed)</small><br>16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.<br>17. <input type="checkbox"/> Other: _____ |  |

8. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No. \_\_\_\_\_

Prior application information:    Examiner \_\_\_\_\_    Group / Art Unit: \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**

|                                                                            |  |                                 |  |                                                          |  |
|----------------------------------------------------------------------------|--|---------------------------------|--|----------------------------------------------------------|--|
| <b>19. CORRESPONDENCE ADDRESS</b>                                          |  |                                 |  |                                                          |  |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label      |  |                                 |  | or <input type="checkbox"/> Correspondence address below |  |
| Name <b>Donald R. Studebaker</b>                                           |  |                                 |  |                                                          |  |
| Address <b>Nixon Peabody LLP</b><br><b>8180 Greensboro Road Suite #800</b> |  |                                 |  |                                                          |  |
| City <b>McLean</b>                                                         |  | State <b>Virginia</b>           |  | Zip Code <b>22102</b>                                    |  |
| Country _____                                                              |  | Telephone <b>(703) 790-9110</b> |  | Fax <b>(703) 883-0370</b>                                |  |

|                                               |  |                                                  |  |
|-----------------------------------------------|--|--------------------------------------------------|--|
| Name (Print/Type) <b>Donald R. Studebaker</b> |  | Registration No. (Attorney/Agent) <b>#32,815</b> |  |
| Signature                                     |  | Date <b>1/14/02</b>                              |  |

Jc973 U.S. PTO  
**10/043308**  
 01/14/02

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**780.00**

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | Not Yet Assigned |
| Filing Date          | January 14, 2002 |
| First Named Inventor | Chin-Fu CHO      |
| Examiner Name        | Not Yet Assigned |
| Group Art Unit       | Not Yet Assigned |
| Attorney Docket No.  | 742433-0023      |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.

Deposit  
Account  
Number

19-2380 (742433-0023)

Deposit  
Account  
Name

Nixon Peabody LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status  
See 37 CFR 1.27

## Payment Enclosed:

- ☒ Check ☐ Credit Card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### BASIC FILING FEE

| Large Entity<br>Fee<br>Code | Fee<br>(\$) | Small Entity<br>Fee<br>Code | Fee<br>(\$) | Fee Description        | Fee Paid |
|-----------------------------|-------------|-----------------------------|-------------|------------------------|----------|
| 101                         | 740         | 201                         | 370         | Utility filing fee     | 740.00   |
| 106                         | 330         | 206                         | 165         | Design filing fee      |          |
| 107                         | 510         | 207                         | 255         | Plant filing fee       |          |
| 108                         | 740         | 208                         | 370         | Reissue filing fee     |          |
| 114                         | 160         | 214                         | 80          | Provisional filing fee |          |

SUBTOTAL (1) (\$)**740.00**

### EXTRA CLAIM FEES

| Total Claims          | Extra Claims | Fee from<br>below | Fee Paid |
|-----------------------|--------------|-------------------|----------|
| 10                    | -20** = 0    | X                 |          |
| Independent<br>Claims | 1            | -3** = 0          | X        |
| Multiple Dependent    |              |                   |          |

| Large Entity<br>Fee<br>Code | Fee<br>(\$) | Small Entity<br>Fee<br>Code | Fee<br>(\$) | Fee Description                                               |
|-----------------------------|-------------|-----------------------------|-------------|---------------------------------------------------------------|
| 103                         | 18          | 203                         | 9           | Claims in excess of 20                                        |
| 102                         | 84          | 202                         | 42          | Independent claims in excess of 3                             |
| 104                         | 280         | 204                         | 140         | Multiple dependent claim, if not paid                         |
| 109                         | 84          | 209                         | 42          | ** Reissue independent claims over<br>original patent         |
| 110                         | 18          | 210                         | 9           | ** Reissue claims in excess of 20 and<br>over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Fee<br>Code               | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>(\$) | Fee Description                                                                  | Fee Paid |
|---------------------------|--------------------------------|--------------------------------|----------------------------------------------------------------------------------|----------|
| 105                       | 130                            | 205                            | 65 Surcharge - late filing fee or oath                                           |          |
| 127                       | 50                             | 227                            | 25 Surcharge - late provisional filing fee or cover sheet                        |          |
| 139                       | 130                            | 139                            | 130 Non-English transaction                                                      |          |
| 147                       | 2,520                          | 147                            | 2,520 For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                       | 920*                           | 112                            | 920* Requesting publication of SIR prior to Examiner action                      |          |
| 113                       | 1,840*                         | 113                            | 1,840* Requesting publication of SIR after Examiner action                       |          |
| 115                       | 110                            | 215                            | 55 Extension for reply within first month                                        |          |
| 116                       | 400                            | 200                            | 200 Extension for reply within second month                                      |          |
| 117                       | 920                            | 460                            | 460 Extension for reply within third month                                       |          |
| 118                       | 1,440                          | 720                            | 720 Extension for reply within fourth month                                      |          |
| 128                       | 1,960                          | 228                            | 980 Extension for reply within fifth month                                       |          |
| 119                       | 320                            | 219                            | 160 Notice of Appeal                                                             |          |
| 120                       | 320                            | 220                            | 160 Filing a brief in support of an appeal                                       |          |
| 121                       | 280                            | 221                            | 140 Request for oral hearing                                                     |          |
| 138                       | 1,510                          | 138                            | 1,510 Petition to institute a public use proceeding                              |          |
| 140                       | 110                            | 240                            | 55 Petition to revive - unavoidable                                              |          |
| 141                       | 1,280                          | 241                            | 640 Petition to revive - unintentional                                           |          |
| 142                       | 1,280                          | 242                            | 640 Utility issue fee (or reissue)                                               |          |
| 143                       | 460                            | 243                            | 230 Design issue fee                                                             |          |
| 144                       | 620                            | 244                            | 310 Plant issue fee                                                              |          |
| 122                       | 130                            | 122                            | 130 Petitions to the Commissioner                                                |          |
| 123                       | 50                             | 123                            | 50 Processing fee under 37 CR 1.17(q)                                            |          |
| 126                       | 180                            | 126                            | 180 Submission of Information Disclosure Stmt                                    |          |
| 581                       | 40                             | 581                            | 40 Recording each patent assignment per property (times<br>number of properties) | 40.00    |
| 146                       | 740                            | 246                            | 370 Filing a submission after final rejection (37 CFR<br>§ 1.129(a))             |          |
| 149                       | 740                            | 249                            | 370 For each additional invention to be examined (37 CFR<br>§ 1.29(b))           |          |
| 179                       | 740                            | 279                            | 370 Request for Continued Examination (RCE)                                      |          |
| 169                       | 900                            | 169                            | 900 Request for expedited examination of a design<br>application                 |          |
| Other fee (specify) _____ |                                |                                |                                                                                  |          |

\* Reduced by Basic Filing Fee Paid

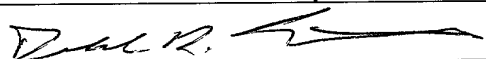
SUBTOTAL (3) (\$)**40.00**

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on \_\_\_\_\_

Name \_\_\_\_\_

## SUBMITTED BY

|                   |                                                                                     |                                      |         |           |                |
|-------------------|-------------------------------------------------------------------------------------|--------------------------------------|---------|-----------|----------------|
| Name (Print/Type) | Donald R. Studebaker                                                                | Registration No.<br>(Attorney/Agent) | #32,815 | Telephone | (703) 883-0370 |
| Signature         |  |                                      |         | Date      | 1/14/02        |